

# Chronic Myeloid Leukemia:

## Origin, Development, Response to Therapy, and Relapse

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### ABSTRACT

The introduction of imatinib, the first of a family of *abl* kinase inhibitors, opened a new era in the therapy of chronic myeloid leukemia (CML). The majority of treated patients achieve complete cytogenetic response, although the disease is often still detectable by molecular techniques. Using a mathematical model for the architecture of hematopoiesis and progression of the disease as well as clinical data, we develop a unified framework which models the origin and clonal expansion of CML, the response to *abl* kinase inhibitors and relapse upon cessation of therapy. The model predicts that a small pool of mutated stem cells is enough to drive CML. Inhibition of the *abl* kinase decreases the self-renewal capability of CML progenitors, altering their fitness compared to normal progenitors. Persistence of CML progenitors, however, is responsible for the rapid relapses observed upon cessation of therapy. We demonstrate how the architecture of hematopoiesis plays an instrumental role both in growth of the CML clone and its response to treatment. A small pool of stem cells is enough to drive the chronic phase of CML. Imatinib reverses the fitness advantage of CML cells allowing return of normal hematopoiesis in most patients. Persistence of CML progenitor cells seems to be responsible for the observed relapse kinetics.

## INTRODUCTION

Chronic myeloid leukemia (CML) is one of the classic myeloproliferative disorders<sup>1, 2</sup> that has served as a paradigm in hematology and oncology. The disease is characterized by the Philadelphia chromosome<sup>3</sup> where the *c-abl* proto-oncogene, normally present on chromosome 9, is translocated to the major breakpoint cluster region (*bcr*) on chromosome 22. The result is the formation of the *bcr-abl* fusion gene and its aberrant expression as an oncoprotein<sup>4</sup>. This oncoprotein is the target of imatinib mesylate, the first of a series of recently designed reversible *abl*-kinase inhibiting drugs<sup>5</sup> that gives high response rates in patients with this disease<sup>6, 7</sup>. There is still some controversy whether *bcr-abl* alone is responsible for the development of the disorder<sup>8</sup>. Recent work on the age-specific incidence of CML<sup>9</sup> and animal models suggest that aberrant expression of *bcr-abl* in hematopoietic stem cells (HSC) may be enough to explain the chronic phase of the disease<sup>10, 11</sup>. CML is a true HSC disorder since *bcr-abl* is found in both myeloid and lymphoid cells, including a small fraction of T and NK cells<sup>12</sup>. A characteristic feature of CML is expansion of granulocyte production with extramedullary hematopoiesis leading to splenomegaly and an increase in the circulating number of granulocytes and their precursors. Normal marrow output in an adult is  $\sim 3.5 \times 10^{11}$  cells/day, while output in patients with CML often exceeds  $10^{12}$  cells/day<sup>8</sup>, a 3-fold increase.

The current consensus is that the HSC pool is not expanded in CML<sup>13, 14</sup>. However, it has been shown that *bcr-abl* induces the production of IL-3 and G-CSF by progenitor cells that may act in an autocrine fashion to enhance self-renewal of more mature progenitors leading to progenitor cell expansion<sup>15</sup>. Moreover, it is known that the combination of IL-3 and G-CSF can enhance the limited self-renewal capability of hematopoietic progenitor cells<sup>16</sup>. Therefore, current evidence suggests that while CML arises in the HSC, it is driven by progenitor cell expansion due to a higher probability of *limited* self-renewal of these cells<sup>17, 18</sup>. In addition, when CFU-GM isolated from patients with CML are exposed to pharmacologically achievable concentrations of imatinib *in vitro*, they display a decrease in self-renewal capability down to normal or subnormal levels<sup>19</sup>. A major difference between HSC and progenitor self-renewal is that HSC can self-renew for much longer than progenitor cells. Hence HSC contribute to hematopoiesis

for a long time (possibly the life-time of the mammal) while progenitor cells contribute to hematopoiesis for a few weeks to months at most. There is still considerable controversy on whether imatinib actually induces cell death in the most primitive CML cells.

Under normal conditions, hematopoiesis can be metaphorically represented by a multi-compartmental model in dynamic equilibrium in which cells “move” from one compartment to the next as they become increasingly differentiated<sup>20</sup>. In a healthy adult, approximately 400 HSC, that replicate on average once per year<sup>21, 22</sup> are responsible for the daily marrow output of  $\sim 3.5 \times 10^{11}$  cells. In this work, we investigate how CML perturbs this system in order to understand clonal expansion as well as the response to therapy and relapse upon cessation of treatment.

## MATERIALS AND METHODS

Allometric scaling and experimental evidence suggests that hematopoiesis is maintained by an active pool of  $\sim 400$  HSC in a healthy adult<sup>21, 22</sup>. These cells replicate  $\sim 1/\text{year}$  and yet are responsible for a normal marrow output of  $\sim 3.5 \times 10^{11}$  cells per day. A recently developed multi-compartment model of hematopoiesis<sup>20</sup> has linked the slow replication of the HSC with the high cellular output of the bone marrow. In this model, cell division in any compartment  $i$  leads to two daughter cells that are either transferred to the next downstream compartment ( $i + 1$ , compatible with differentiation) or the cells retain the properties of their parent and stay in the same compartment (self-renewal)<sup>20</sup>. The probability of differentiation is  $\varepsilon$ , whereas the probability of self-renewal is given by  $1 - \varepsilon$ . Both probabilities are considered to be constant across *normal* hematopoiesis. The normal value of  $\varepsilon$  was determined as  $\varepsilon_0 = 0.85$ . In the case of normal hematopoiesis, we could estimate the total number of divisions ( $K$ ) that link the HSC with the circulating compartment as well as the exponential increase in replication rate that occurs between compartments ( $r \approx 1.26$ )<sup>20</sup>. Our estimate for  $K$  ( $\sim 31$ ) is compatible with prior predictions<sup>23-25</sup>, while the estimated values of both  $\varepsilon$  and  $r$  proved robust with respect to changes in the number of HSC contributing to hematopoiesis suggesting that they are characteristics of hematopoiesis. The parameters of this model for healthy individuals were determined using data from polymorphonuclear leukocyte production, the number

of active hematopoietic stem cells, the average output of the bone marrow, and the rates of cell division of stem cells and granulocyte precursors<sup>20</sup>.

### ***Model constraints***

The total number of active HSC in patients with CML is not expanded<sup>13, 14</sup>, but there is an increase in the number of myeloid progenitors by >14%<sup>26</sup> due to higher self-renewal ( $\varepsilon_{CML} < \varepsilon_0$ )<sup>17, 27</sup>. We assume that whenever the marrow output exceeds  $10^{12}$  cells per day, CML is diagnosed<sup>8</sup> and treatment starts long before hematopoiesis reaches a stationary state under the reduced  $\varepsilon$ . The only known external hazard for the development of CML is radiation exposure and from observations of the population exposed to the Hiroshima nuclear detonation, it appears that the time for the disease to become clinically evident ranges from 3.5 to 6 years<sup>28</sup>. We utilize these experimental observations to constrain our parameter fits.

### ***Disease progression***

Although the number of cells in compartment  $i, N_i$ , is an integer, we can approximate the average dynamics by a differential equation. During hematopoiesis, the number of cells in each compartment  $i \geq 1$  changes as:

$$\dot{N}_i = -d_i \cdot N_i + b_{i-1} \cdot N_{i-1} \quad (1)$$

where  $d_i = (2\varepsilon - 1)r_i$  represents the rate at which cells leave compartment “ $i$ ” and  $b_{i-1} = 2 \cdot \varepsilon \cdot r_{i-1}$  represent the rate at which cells originating from compartment “ $i + 1$ ” are injected in compartment “ $i$ ”. The stationary state  $\dot{N}_i = 0$  leads to the model of normal hematopoiesis<sup>20</sup>. In this case,  $N_0$  is constant and given by  $N_0 \approx 400$ <sup>22</sup>, leading to an influx of  $r_0 \cdot 2 \cdot \varepsilon \cdot N_0$  into the first compartment. Experimental data suggests, that even in CML,  $N_0$  is not expanded and hence we assume it remains  $\sim 400$ <sup>13, 14</sup>. A mutation in the HSC compartment leads to 1 cancer stem cell, in our case a CML stem cell, and  $N_0 - 1$  normal HSC. In such a scenario we have to consider two different systems of equations, Eq. (1) for normal cells with  $\varepsilon_0 = 0.85$  and a second equation, formally identical to the first, but for CML cells ( $N_i^{CML}$ ) with  $\varepsilon_{CML} < \varepsilon_0$ . The dynamics of disease

expansion is shown in Figure 1B, and corresponds to the initial growth of the  $bcr-abl/bcr$  ratio.

### ***Increased cell replication***

The model allows us to estimate the average number of divisions  $C$  that a cell experiences during its trajectory from the stem cell compartment to the peripheral blood. If the number of compartments for normal hematopoiesis is given by  $K$ , then it follows that  $C \geq K$ . Let  $D = C - K$ . A cell in compartment  $i$  moves to compartment  $i + 1$  with probability  $\varepsilon$  or makes an amplification step with probability  $1 - \varepsilon$ . The probability that a given cell undergoes  $D$  divisions that do not lead to the next compartment is given by a Poisson distribution with a characteristic parameter  $\lambda = K(1 - \varepsilon)$ ,

$$P(D) = \frac{\lambda^D}{D!} e^{-\lambda}.$$

Therefore,  $C = K + \langle P(D) \rangle = K + K(1 - \varepsilon)$ . Hence, CML cells with a decreased  $\varepsilon$  will on average undergo more cell divisions ( $>4$ ), as indicated by experimental observations<sup>29</sup>.

### ***Treatment with abl kinase inhibitors***

We simulate the effect of, e.g., imatinib by modifying  $\varepsilon_{CML}$  to normal (or supra-normal) levels in those CML cells to which the drug binds. In this way, the advantage of the clone is lost<sup>19</sup>. Mature cells are not sensitive to the effects of the drug and simply undergo apoptosis as dictated by their natural life history. The tumor burden in CML is monitored using the  $bcr-abl$  to  $bcr$  ratio determined via quantitative real-time polymerase chain reaction (Q-RT-PCR), such that reductions in the absolute amount of  $bcr-abl/bcr$  detected serially in patients are considered to infer a decrease in tumor burden.

During imatinib therapy, a fraction,  $z$  of CML cells responds to treatment. Thus, the number of CML cells in compartments  $i \geq 1$ , changes as:

$$\dot{N}_i^{CML} = -(1 - z) \cdot d_i^{CML} \cdot N_i^{CML} - z \cdot d_i^{IMAT} \cdot N_i^{CML} + (1 - z) \cdot b_{i-1}^{CML} N_{i-1}^{CML} + z \cdot b_{i-1}^{IMAT} N_{i-1}^{CML}$$

where the definitions of  $d$  and  $b$  remain the same except that  $\varepsilon$  must be replaced by the appropriate one for the *IMAT* and *CML* coefficients, respectively.

In our model, we obtain  $\varepsilon_{CML}$ ,  $\varepsilon_{IMAT}$  and  $z$  from a least squares fit to clinical data for  $bcr-abl/bcr$ <sup>30</sup>. In principle, our model has a total of only 7 parameters: The differentiation probabilities for the three types of cells, the increase in the replication rate  $r = r_i / r_{i-1}$ , the

fraction of cells responding to treatment  $z$ , the number of compartments  $K$  and the number of mutated stem cells. However, three of these parameters,  $\varepsilon_0$ ,  $K$  and  $r$  are fixed from the outset, based on data obtained in healthy individuals<sup>20</sup>. Fitting the model to the available clinical data for *bcr-abl/bcr* from the study of Michor et al.<sup>30</sup> fixes  $\varepsilon_{CML}$ ,  $\varepsilon_{IMAT}$  and  $z$ , and hence we are left with a single undetermined parameter, the number of mutated stem cells.

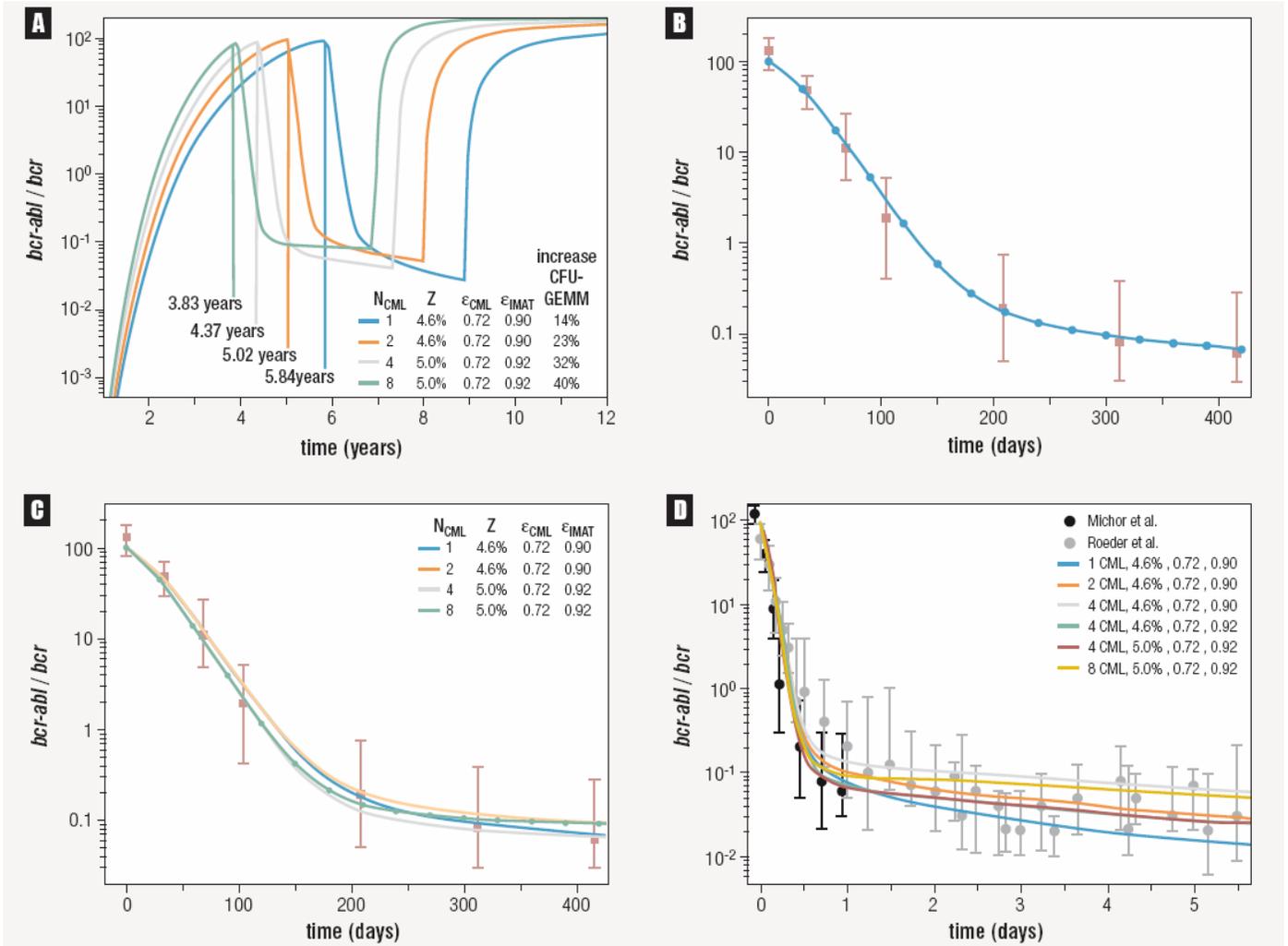
We analyze our model for a different number of mutated stem cells in compartment 0, taking into account that *bcr-abl* expression increases self-renewal in progenitor cells<sup>19</sup> but not in the HSC<sup>31</sup>. The results of the best fits of  $z$ ,  $\varepsilon_{CML}$  and  $\varepsilon_{IMAT}$  are presented in Figure 2B for the three phases of the disease: before, during and after imatinib treatment. Finally, we tested the model and parameter values obtained previously on an independent dataset published by Roeder et al.<sup>32</sup> that has the advantage of up to 6 years of follow-up. A very good agreement is obtained, as shown in Figure 1D.

## RESULTS

### *Disease progression*

Details about the model are provided in the Materials and Methods section. We start from the view of normal hematopoiesis as a hierarchical multi-compartmental structure connecting hematopoietic stem cells to terminally differentiated cells. In each compartment, cells stochastically differentiate with the same probability  $\varepsilon_0$ , or self-renew otherwise. CML originates in the aberrant expression of the *abl* kinase which takes place in some stem cells (CML stem cells). This acts to modify the probability of differentiation of the CML cells ( $\varepsilon_{CML}$ ) across compartments. Hence, our free parameters are the number of CML stem cells which drive the disease, the probability of differentiation of the CML cells ( $\varepsilon_{CML}$ ) and the impact of therapy (via e.g., imatinib) on this differentiation probability ( $\varepsilon_{IMAT}$ ). We fix the model parameters via a fit to the available experimental data for *bcr-abl* by Q-RT-PCR from Michor et al<sup>30</sup>, shown in Figure 1A. The results indicate that CML cells exhibit a differentiation probability  $\varepsilon_{CML} = 0.72$  while normal hematopoietic cells have  $\varepsilon_0 = 0.85$ <sup>20</sup>. Starting from a single

mutated stem cell, it takes  $\sim 5.8$  years for full blown disease to appear, associated with a marrow output  $>10^{12}$  cells/day.



**Figure 1. CML dynamics before and under imatinib therapy.** (A) Our model was fitted to experimental data for *bcr-abl/bcr* obtained from cohorts of patients with CML treated with imatinib. We consider the data from Michor et al.<sup>30</sup>. Solid squares and error bars represent the median and quartiles, respectively, for a given time point after the start of therapy. Solid circles joined with a dashed line represent the best fit obtained for  $\epsilon_{CML} = 0.72$ ,  $\epsilon_{IMAT} = 0.90$  with 4.6% of the cells responding to imatinib, CML being driven by a single CML stem cell. (B) The time interval from initiation to full-blown disease depends on the number of CML stem cells ( $N_{CML}$ ) which drive the disease; time to diagnosis decreases as  $N_{CML}$  increases. A larger  $N_{CML}$  also leads to a less than optimal depth of response to imatinib. (C) The model is robust with very little change in parameter estimates as  $N_{CML}$  is varied from 1 to 8. (D) Fitting of an independent dataset from Roeder et al.<sup>32</sup>, using the parameters obtained in (C) confirms the robustness and validity of the model.

As the number of CML stem cells increases, the time required for the disease to become clinically diagnosed decreases, with 8 neoplastic stem cells giving rise to the disease in

3.8 years (Figure 1B). This is compatible with the data from Hiroshima after the nuclear weapons detonation in 1945<sup>28</sup>. The best fit to the data was obtained when the number of active CML stem cells was 1 to 2 cells.

The condition,  $\varepsilon_{CML} < \varepsilon_0$  leads to an increased self-renewal capability of CML mutated cells. This is supported by experimental data showing a higher frequency of self renewal in CML progenitors<sup>19</sup> and compatible with the concept of an enhanced fitness for mutations that increase the self-renewal capability of cells<sup>33</sup>. In addition, the parameters in Figure 1A, in which CML is initially driven by a single mutated stem cell, imply that patients with CML have a CFU-GEMM pool that is expanded by ~14% compared to healthy adults, in excellent agreement with the data from<sup>14, 26</sup>. Although slight changes in  $\varepsilon$  alter the kinetics of the disease (Figure 1C), the overall features remain unchanged, showing the robustness of the model.

### ***Imatinib treatment***

In this section we refer explicitly to imatinib, given that we are comparing our model results with experimental data involving patients treated with this drug. However, most of our results should also apply to other *abl* kinase inhibitors. Despite their increased potency and broader spectrum of inhibition, they should induce a qualitatively similar response of the hematopoietic system even in imatinib-resistant patients.

At any time step, imatinib is taken up by normal and CML cells. It is active only in *bcr-abl* expressing cells where it modifies the value  $\varepsilon_{CML}$  in a fraction of the cells. Imatinib increases  $\varepsilon_{CML}$  to supra-normal levels,  $\varepsilon_{MAT} > \varepsilon_0 > \varepsilon_{CML}$  (Figure 1C), giving *normal* cells a fitness advantage<sup>19</sup>. In this respect, it is noteworthy that imatinib is the first drug in the history of cancer therapy that reduces the fitness of mutant cells compared to normal cells, enabling the latter to dominate most compartments and return hematopoiesis to normal or near-normal levels. Circulating cells have a finite life-time and are continuously being washed out. Therefore the response to imatinib is also determined to a great extent by the underlying architecture and dynamics of hematopoiesis. As a result, the disease burden decreases by 3 to 4 log (Figure 1A and D) as observed clinically<sup>7, 30</sup>. The steep slope in the response to imatinib is determined by the efficacy of the interaction between the drug and CML cells. Our model suggests that

at any time,  $\approx 5\%$  of the cells are responding to imatinib. A higher fraction of cells responding to the drug leads to a faster rate of decay. Such behavior is expected to take place with more recent drugs, such as nilotinib or dasatinib, which are known to bind more effectively to the *abl* kinase<sup>34</sup>.

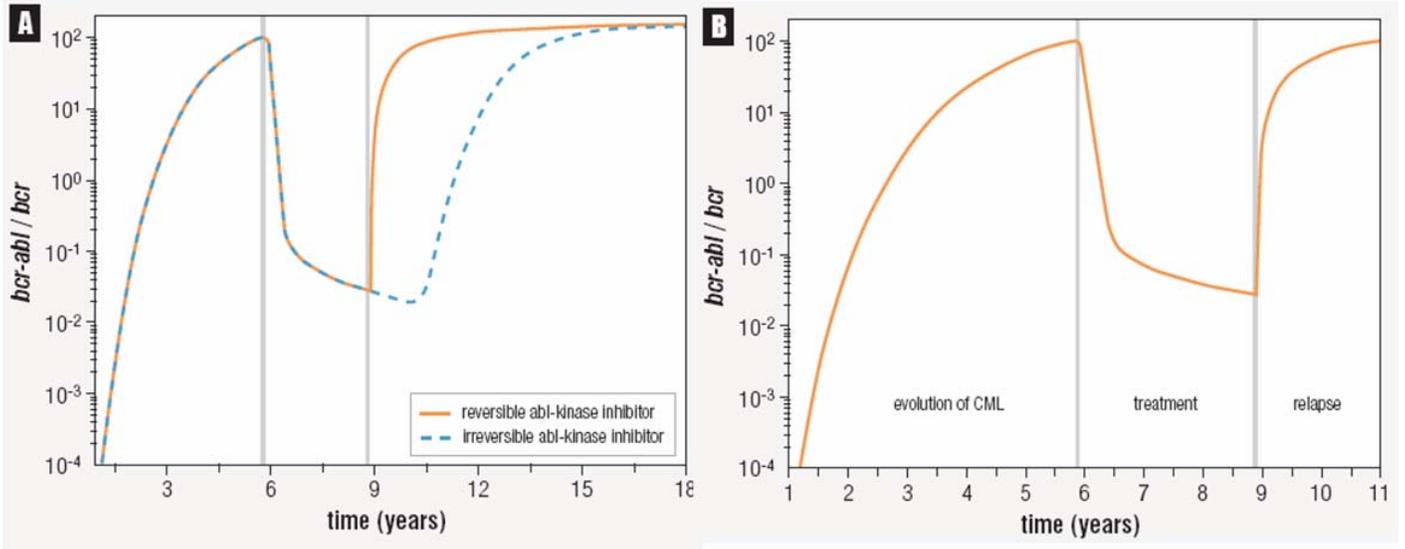
Despite therapy, CML progenitors persist (albeit with a lower  $\varepsilon$ ). As long as resistance to imatinib does not develop, the disease burden decreases (Figure 1 and 2) until it reaches a plateau. The level of this plateau depends on i) the *relative* advantage of normal progenitor cells compared to their CML counterparts in the presence of imatinib, and ii) the number of CML stem cells that drive the disease.

The *same* parameter estimates obtained based on the data of Michor et al.<sup>30</sup> are also compatible with the data reported by Roeder et al.<sup>32</sup> for an independent set of CML patients treated with imatinib (Figure 1D) but with (a more than 5 times) longer follow-up. Note that the values obtained for both  $\varepsilon_{CML}$  and  $\varepsilon_{IMAT}$  (the disadvantage given to CML progenitors by imatinib) do not vary significantly as the pool of CML stem cells expands from 1 to 8 (Figure 1C), compatible with the fact that these two parameters are characteristics given to the cell due to *bcr-abl* expression in the absence, and presence of imatinib respectively.

### ***Relapse after cessation of therapy***

The model predicts that once therapy is stopped, relapse occurs rapidly (Figure 2A) as observed clinically<sup>30</sup>. This suggests that the rapidity of relapse reported by Michor et al.<sup>30</sup> is based on a persistent pool of CML progenitors that rapidly take over hematopoiesis once treatment is withdrawn. The reversible interaction between *abl*-kinase inhibitors and *bcr-abl* allows CML cells to regain their enhanced fitness compared to normal progenitors when therapy is stopped. These observations also explain why relapse kinetics occurs promptly and is much faster compared to the initial growth of the tumor before therapy: the drug does not simply reduce the tumor burden and re-set the clock. Results show that if treatment is given for 3 years with an excellent response and then withheld, the disease burden will reach pre-therapeutic levels within  $\sim 2$  years in the *absence* of acquired resistance to the drug (Figure 2A) although relapse will be detectable much earlier at the molecular level. Without CML progenitors, the process would take  $\sim 4$  years. On the other hand, the reversible nature of imatinib (as well as other *abl* kinase

inhibitors, albeit exhibiting longer binding times) is also directly responsible for the rapidity of the overall relapse upon cessation of therapy. Indeed, for an irreversible *abl*-kinase inhibitor, a considerable delay in the time to relapse is predicted, as shown in Figure 2B.



**Figure 2. Myeloproliferation in the presence of CML and response to therapy.** (A) Starting with 1 CML stem cell, the disease takes almost 6 years to be clinically diagnosed (same parameters as in Figure 1A). When therapy with imatinib starts, the characteristic 2 slope response emerges as a consequence of the underlying architecture of the bone marrow. However, CML progenitors persist and if imatinib is stopped after 3 years of therapy, relapse occurs rapidly being driven by the progenitors; hence the steeper slope compared to the initial growth of the disease. (B) Therapy with an irreversible *abl* kinase inhibitor will lead to a longer time to relapse once therapy is stopped, relapse occurring also at a slower rate.

## DISCUSSION

In this work, we propose a unified framework for the dynamics of CML to include the expansion of the clonal population from a single mutant cell, the response to therapy using *abl* kinase inhibitors as well as relapse once treatment is withdrawn. To this end, we start from a hierarchical, multi-compartmental description of hematopoiesis and investigate in which way CML cells differ from normal cells and how they influence the dynamics of hematopoiesis. Subsequently, we studied how *abl* kinase inhibitors influence the overall dynamics of CML. The model is compatible with the available experimental data on this disorder. While the total number of active HSC in CML is not expanded,<sup>14</sup> there is an increase in the number of myeloid progenitors<sup>26</sup>. Expression of *bcr-abl* in progenitor cells enhances their self-renewal<sup>27</sup> resulting in an increase in the size of each subsequent compartment: The effect is slowly transmitted and amplified throughout all

downstream compartments with the result that the total daily marrow output increases. For the purposes of our model and in the absence of available quantitative data, we assume that, similar to normal hematopoiesis, CML mutated cells express the same  $\varepsilon_{CML}$  in all compartments. This may be modified once experimental data become available. Moreover our results do not critically depend on it, while the clinical data are compatible with an overall reduction of  $\varepsilon$ .

Our work shows that the disease can be driven by a very small pool of *bcr-abl* positive hematopoietic stem cells that replicate slowly. Indeed, one CML stem cell may be enough to drive the disorder. *Bcr-abl* does not give a fitness advantage to the CML stem cell<sup>31</sup> within the most primitive pool and so expansion of this cell lineage within the HSC pool will proceed by neutral drift. However, whenever the CML stem cell pool expands, the time for diagnosis gets progressively shorter. The model illustrates how the architecture and dynamics of hematopoiesis enable the progeny of CML stem cells to dominate hematopoiesis and how therapy itself benefits from the same architecture to control the disease. Given the small number of CML stem cells driving the disease, one expects it will be very unlikely to eradicate these cells. Simple mass action considerations show how difficult it is for imatinib or other *abl* kinase inhibitors to interact effectively with such a small population of cells. The enhanced fitness bestowed by *Bcr-abl* expression in CML progenitors is manifest as a higher self-renewal capability that leads both to myeloproliferation and domination of hematopoiesis by the CML clone. The higher self-renewal capability of CML progenitors ( $\varepsilon_{CML} < \varepsilon_0$ ) also results in a higher number of cell divisions for the clonal cells compared to controls, leading to shorter telomeres as reported by Brummendorf et al<sup>29</sup>. The model predicts that all else being equal, patients with a smaller pool of CML stem cells will have a larger reduction in tumor burden compared to those with a larger number of active CML stem cells. CML stem cell expansion by neutral drift within the active stem cell pool<sup>35</sup> provides a potential explanation for the variability in the depth of responses observed clinically despite the lack of mutant (drug resistant) cells.

Tumor cells can acquire resistance to imatinib, an observation that fuelled the introduction of novel agents such as dasatinib and nilotinib to control most of the imatinib resistant mutants of *bcr-abl*<sup>34, 36</sup>. Both nilotinib and dasatinib are more potent

than imatinib in inhibiting the *abl* kinase<sup>34</sup>. Our model predicts that therapy with these agents should lead to a faster decline in the tumor burden with a higher fraction of cells responding to therapy ( $z > 5\%$ ). However, the reduction of disease burden will depend on how much the drug is capable of reversing the value of  $\varepsilon_{DRUG}$  with respect to normal ( $\varepsilon_0$ ). Simulations for a hypothetical drug that irreversibly inhibits *abl* (Figure 2B) suggest that the benefit of such an approach will be to substantially delay relapse of the disease together with a slower rate of disease expansion. Therapeutic approaches that lower the number of CML stem cells will be necessary to reduce the disease burden further.

The model presented here is not the first mathematical model for CML progression and response to imatinib. In a seminal paper, Michor et al.<sup>30</sup> made the first approach to tackle this problem. Shortly after that, Roeder et al.<sup>32</sup> pointed out some potential problems with this model and proposed a more elaborate approach. The work presented here is a further step, as the model is based on the architecture of hematopoiesis in healthy individuals. One remarkable feature of chronic phase CML is that while marrow production is enhanced, the function of the produced cells is fairly normal. The previous models<sup>30, 32</sup> did not explicitly discuss marrow expansion, while in our model, myeloproliferation under normal cell replication rates occurs naturally. Furthermore, both models are based on the ‘two slope’ decay of the *bcr-abl/bcr* ratio in response to imatinib<sup>30, 32</sup>. This has been interpreted as a consequence of four operational compartments that comprise hematopoiesis: hematopoietic stem cells, progenitor cells, differentiated cells and mature cells. The parameters of this four-compartment model of hematopoiesis were adjusted to reproduce the ‘two slope’ decay for one year of therapy. Perhaps unsurprisingly, longer follow-up treatments cannot be explained without extending this model<sup>30</sup>. In fact, a close look at individual patient data indicates that the two slope proposition may not reflect the response to therapy well enough. This notwithstanding, yet another phenomenological model of CML has been introduced by specifically *imposing* a 2-slope decay in response to imatinib<sup>32</sup>. As a result, the authors conclude that stimulating CML stem cells to replicate would increase imatinib sensitivity. However, stimulating stem cell proliferation could increase the risk of acquired resistance to imatinib with failure of therapy. A study combining G-CSF with imatinib therapy was

initiated in patients with CML<sup>37</sup>, based on this model.<sup>32</sup> The study was stopped due to a lack of benefit and a potential for harm could not be excluded<sup>38</sup>.

Both prior models assume that relapse is driven only by CML stem cells. Here, we propose that the fast relapse dynamics, often observed after stopping therapy, are due to the persistence of a significant number of CML *progenitors*. Recently, Rousselot et al<sup>39</sup> reported that some patients have not experienced relapse after a median of 18 months of stopping imatinib. Our model predicts that relapse can occur up to 4 years after stopping therapy. Consequently, we believe that it is premature to assume that patients are actually cured. Moreover, the fact that a significant number of patients in that report had prior treatment with interferon alpha - an agent that is known to induce delayed therapeutic effects and that can cure some patients with this disease - limits what can be inferred about the effect of imatinib in this group of patients.

Our model shows that the ‘two slopes’ emerge due to the combined effect of drug efficiency and the fact that only a fraction of the cells are responding to imatinib at any time. The model originates from a compartmental architecture of hematopoiesis which, under normal conditions, maintains a stochastic dynamic equilibrium between adjacent compartments, compatible with current thinking of hematopoiesis as a stochastic process<sup>40, 41</sup>. It predicts that newer *abl*-kinase inhibitors with a higher affinity for the kinase will lead to faster responses. Finally, for the first time it was possible to assess how many active stem cells might actually drive a tumor. Our prediction of a small number of cells is in keeping with the clonal nature of a tumor as well as recent experimental data proving that a single cancer stem cell can lead to full tumor development in an animal model<sup>42</sup>. The apparent ease with which CML cells can be engrafted in immunodeficient mice to induce a disease similar to CML might suggest that the pool of CML stem cells may be large. However, recent results show that such engrafted cells disappear after a few weeks, suggesting that the CML cells injected were not stem cells. The difficulty in successfully identifying CML stem cells correlates with our results which predict that the number of CML stem cells is small<sup>43</sup>.

*Abl* kinase inhibitors reverse the fitness advantage of CML cells inducing characteristic response profiles under therapy. Once the fitness of CML progenitors is reduced, normal progenitors take over hematopoiesis with the normalization of blood

counts and elimination of the Philadelphia chromosome by FISH <sup>6, 7</sup>. However, CML progenitors (not simply CML stem cells) persist and are responsible for rapid relapse once treatment is stopped, even in the absence of acquired resistance to the drug. If relapse was simply driven by the persistence of CML stem cells, the time taken for relapse would be significantly longer. Our work suggests that *abl* kinase inhibitors alone may not be able to eliminate CML. However, by reducing the disease burden, they may enhance the anti-CML immune response that could be able to suppress the disease even further and perhaps operationally cure some patients.

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